

Attorney's Docket No. 030679

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of McMurtray et al.

Art Unit:

INVESTMENT STRUCTURES, METHODS AND SYSTEMS INVOLVING DERIVATIVE

SECURITIES

Serial No.: 10/689,136

Filed: October 20, 2003

TRANSMITTAL OF FORMAL DRAWINGS

December 9, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

Enclosed please find three (3) sheets of formal drawings (Figures 1-3) for the above-mentioned application.

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If the undersigned can be of any assistance to the Examiner, please contact the undersigned at the number set forth below.

Respectfully submitted,

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